



Benjamin B Brock
Brock Insurance Services LLC
12002 E Shea Blvd #7
Scottsdale AZ 85259
ben@brock-ins.com
(480) 998-1068

Over 25 years experience in direct lawyer's malpractice insurance as a carrier underwriter, program manager and broker. Over 900 AZ law firm clients.

January 2012 to present:

Owner- Brock Insurance Services LLC,

A specialist in legal malpractice insurance servicing 900+ AZ firms. Placing coverage both in the standard and hard-to-place markets. 99% retention ratio.

February 2001 to January 2012:

Vice President- Doug Reed Insurance Services, the predecessor to Brock Insurance Services LLC.

Served as lead new business generator and underwriting manager for carrier sponsored underwriting program.

April 1997 to February 2001:

Senior Underwriter- American Equity Insurance Company.

Responsible for policy drafting, underwriting, quality control and reporting to senior management.

May 1993 to April 1997:

Underwriter-Scottsdale Insurance Company.

Reviewed law firms for acceptability/pricing/terms for inclusion into carrier program throughout United States.

Personal- currently reside with wife Heather and our trusty German Shepherd, Tala. Interests include watching the Chicago Cubs fade back into oblivion, small stream trout fishing and gardening/landscape design.

12002 E Shea Blvd., #7 Scottsdale, AZ 85259
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Firm Name: _____
 Address: _____
 Contact Person: _____
 Date Firm Established: _____
 Continuously Insured since: _____

Current Carrier: _____
 Expiration Date: _____
 Limits: _____
 Deductible: _____
 Retro Date: _____
 Premium: _____

****If you have coverage currently, please include a copy of your policy declarations page****

Full Name	# of Years in Practice	States Admitted to The Bar	Date Joined Firm	Hours Worked Per Week

Estimate the percentage of hours per year your firm works in each area of practice. (Must total 100%)

%	Admiralty/Marine Defense	%	Immigration
%	Admiralty/Marine Plaintiff	%	Intellectual Property - Copyright Trademark
%	Agent Practice/Entertainment Law	%	Intellectual Property - Patent
%	Business Formation	%	Juvenile Rights, Guardian Ad Litem
%	Business Transaction Value <\$500,000	%	Mediation / Arbitration
%	Business Transaction Value >\$500,000	%	Mergers & Acquisitions
%	Civil Litigation - General	%	Municipal - Finance or Bonds
%	Commercial & Corp. Litigation Defense	%	Municipal - General (Not Finance)
%	Commercial & Corp Litigation Plaintiff	%	Oil & Gas, Mineral Rights
%	Corporate Finance	%	Plaintiff Litigation - Social Security Workers Compensation
%	Creditor Rights / Collections	%	Plaintiff Litigation - Class Action
%	Creditor Rights / General (Bankruptcy)	%	Plaintiff Litigation - Value <\$250,000
%	Criminal Defense	%	Plaintiff Litigation - Value >\$250,000
%	Defense Litigation & Insurance Carrier Representation	%	Plaintiff Litigation - Mass Tort
%	Elder Law	%	Public Utilities (Not Finance)
%	Employee Benefit Plans, ERISA	%	Real Estate Finance
%	Employment Law - Employee Representation	%	Real Estate - Res. & Basic Comm. Value <\$1,000,000
%	Employment Law - Management Representation	%	Real Estate - Res & Basic Comm. Value >\$1,000,000
%	Employment Law - Union Representation	%	Schools & Education(Not Finance)
%	Environmental Regulatory	%	Securities - Private Placement
%	Estate and Probate - General	%	Securities - Public Registration
%	Estate / Trust Value is <\$1,000,000	%	Tax Preparation - Individual.
%	Estate / Trust Value is >\$1,000,000	%	Taxation (Excl. Estate Tax & Individual Prep.
%	Family Law Value is <\$1,000,000	%	Water Rights
%	Family Law Value is >\$1,000,000	%	Other

Have you had any Legal Malpractice claims in the past 5 years? Yes No

	Claim 1	Claim 2	Claim 3
Date Reported to Insurer			
Amount Paid Including Expenses Amount Reserved, If Open			

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